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Welcome to the Minnesota Craniofacial Center for TMJ & Sleep Treatment!

Snoring used to be considered a joke. Now we know that snoring can be a serious problem that affects family relationships, quality and appreciation of life, and cardiovascular health. When snoring progresses to become sleep apnea with frequent lapses in breathing during the night, the problem can even become life-threatening. Congratulations on having recognized that you have a physical problem that requires treatment!

Major advances have been made in sleep research. Treatment with oral appliances is very comfortable and effective for many people, for whom the only choice used to be surgery. Often, people comment that their first night's sleep with their oral appliance was the best night's sleep they have had in years!

Your first appointment will include an examination to determine if you are a candidate for oral appliance therapy, and we will explain your treatment choices to you. Some people need to be referred to a sleep center for further laboratory tests before an oral appliance can be constructed. We will discuss this possibility with you, also.

We have enclosed some registration forms. Please fill these out ahead of time and bring them to your first appointment. We look forward to meeting you, and to helping you sleep better!

Sincerely,

Kim Ledermann, D.D.S., M.S.  
MINNESOTA CRANIOFACIAL CENTER, P.C.

SNORING/SLEEP APNEA QUESTIONNAIRE

1. How long have you been aware of snoring? \_\_\_\_\_
2. Has snoring caused problems for friends or relatives? \_\_\_\_\_
3. Have you been told your breathing stops while asleep? \_\_\_\_\_
4. Do you usually sleep on your side, back, or stomach? \_\_\_\_\_
5. Have you been told you move around a lot in your sleep? \_\_\_\_\_
6. Do you have any difficulty falling asleep at night? \_\_\_\_\_
7. About how many hours of sleep per night do you get? \_\_\_\_\_
8. Do you wake during the night? \_\_\_ If so about how many times \_\_\_\_\_
9. Do you usually wake feeling refreshed in the morning? \_\_\_\_\_
10. Do you often wake up with a headache? \_\_\_\_\_
11. Will a small amount of alcohol give you a hangover? \_\_\_\_\_
12. Do you feel sleepy during the day \_\_\_ frequently \_\_\_ occasionally \_\_\_ never (Ep=\_\_\_)
13. Have you ever fallen asleep while driving? \_\_\_\_\_
14. What other doctors have you seen about your snoring or sleep apnea? \_\_\_\_\_  
\_\_\_\_\_
15. What treatment have you had, and how successful has it been? \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
16. Have you had an overnight sleep study done? \_\_\_\_\_ If so when? \_\_\_\_\_  
Results of study? \_\_\_\_\_
17. Do you have trouble breathing through your nose? \_\_\_\_\_
18. Is there anything else you would like us to know? \_\_\_\_\_  
\_\_\_\_\_

Patient Name:  
DOB:

Appt date:

## THE EPWORTH SLEEPINESS SCALE

How likely are you to doze off or fall asleep in the following situations, in contrast to just feeling tired? This refers to your usual way of life in recent times. Even if you have not done some of these things recently, try to decide how they are likely to affect you. Use the following scale to choose the most appropriate number for each situation, and write the number in the blank:

- 0 = Would Never Doze
- 1 = Slight Chance of Dozing
- 2 = Moderate Chance of Dozing
- 3 = High Chance of Dozing

**Situation:**

**Chance of Dozing:**

Sitting and reading	_____
Watching TV	_____
Sitting, inactive in a public place such as a theater or a meeting	_____
As a passenger in a car for an hour without a break	_____
Lying down to rest in the afternoon when circumstances permit	_____
Sitting and talking to someone	_____
Sitting quietly after a lunch without alcohol	_____
In a car, while stopped for a few minutes in traffic	_____

Have you ever received a diagnosis of obstructive sleep apnea or another sleep breathing disorder? \_\_\_\_\_ Yes \_\_\_\_\_ No

If yes, are you receiving treatment for this disorder? \_\_\_\_\_ Yes \_\_\_\_\_ No

What treatment have you received? \_\_\_\_\_

If you are not receiving treatment, why not? \_\_\_\_\_

Have you tried using continuous positive airway pressure (CPAP) to treat your symptoms? \_\_\_\_\_ Yes \_\_\_\_\_ No If no, why not? \_\_\_\_\_

\_\_\_\_\_ (check if true) I have attempted to use CPAP and find it intolerable to use on a regular basis for the following reason(s): \_\_\_\_\_

Because of my inability to tolerate CPAP and my need to control the symptoms of obstructive sleep apnea, I wish to use oral appliance therapy.

Signed: \_\_\_\_\_ Date: \_\_\_\_\_

Patient Name:  
DOB:

Appt date:

## PEDIATRIC SLEEP SCREENING

Fill out this form if you are a parent or guardian accompanying a child receiving treatment. Please complete it as accurately as possible. In our practice we are very interested in our patients' overall health, and orthodontic treatment in children can be an important part of managing health problems caused by sleep and breathing disorders.

Patient's name: \_\_\_\_\_ Age: \_\_\_\_\_

Form filled out by: \_\_\_\_\_

Date: \_\_\_\_\_

- \_\_\_\_\_ While sleeping, does your child snore?
- \_\_\_\_\_ While sleeping, does your child have heavy or loud breathing?
- \_\_\_\_\_ While sleeping, does your child have trouble breathing or struggle to breathe?
- \_\_\_\_\_ Have you ever seen your child stop breathing or hold his or her breath at night?
- \_\_\_\_\_ Does your child wet the bed, sleepwalk or have night terrors (circle any)?
- \_\_\_\_\_ Does your child clench or grind their teeth at night?
- \_\_\_\_\_ Does your child tend to breathe through his or her mouth during the day?
- \_\_\_\_\_ Does your child have a dry mouth on waking in the morning?
- \_\_\_\_\_ Does your child wake up feeling tired in the morning?
- \_\_\_\_\_ Does your child wake up with headaches?
- \_\_\_\_\_ Is it hard to wake your child in the morning?
- \_\_\_\_\_ Does your child have a problem with sleepiness during the day?
- \_\_\_\_\_ Has a teacher or caregiver commented that your child appears sleepy or very active during the day? (circle one)
- \_\_\_\_\_ Does your child often have difficulty organizing tasks and activities?
- \_\_\_\_\_ Does your child have difficulty paying attention?
- \_\_\_\_\_ Does your child have dark circles under his or her eyes?
- \_\_\_\_\_ Does your child have crowded teeth or a misaligned bite?
- \_\_\_\_\_ Did your child stop growing at a normal rate at any time since birth?
- \_\_\_\_\_ Is your child overweight?
- \_\_\_\_\_ Is your child very active?
- \_\_\_\_\_ Does your child have significant emotional or behavioral issues?

Patient Name:  
DOB:

Appt date:

**Patient Medical & Insurance Information**

*Please list information for everyone involved in your care. We will send an examination report to each of these addresses, unless you request otherwise. Please use a black or blue pen.*

Your Name: \_\_\_\_\_  
Date of Birth: \_\_\_\_\_  
Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State: \_\_\_\_\_ Zip: \_\_\_\_\_  
Home #: \_\_\_\_\_  
Work #: \_\_\_\_\_  
Cell #: \_\_\_\_\_  
Email: \_\_\_\_\_  
Employer: \_\_\_\_\_  
**Emergency Contact:** \_\_\_\_\_  
**Phone #:** \_\_\_\_\_  
\*\*\*\*\*

**Whom may we thank for referring you to our office?**

\*\*\*\*\*  
Primary Health Insurance: \_\_\_\_\_  
Billing Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State: \_\_\_\_\_ Zip: \_\_\_\_\_  
Phone #: \_\_\_\_\_  
Subscriber \_\_\_\_\_  
Subscriber DOB: \_\_\_\_\_  
Policy/Group #: \_\_\_\_\_  
ID #: \_\_\_\_\_  
\*\*\*\*\*

Secondary Health Insurance: \_\_\_\_\_  
Phone #: \_\_\_\_\_  
Subscriber: \_\_\_\_\_  
Subscriber DOB: \_\_\_\_\_  
Policy/Group #: \_\_\_\_\_  
ID #: \_\_\_\_\_  
\*\*\*\*\*

**If your treatment is related to a motor vehicle accident, please fill in the following:**

Auto Insurance: \_\_\_\_\_  
Billing Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State: \_\_\_\_\_ Zip: \_\_\_\_\_  
DOI: \_\_\_\_\_ Claim #: \_\_\_\_\_  
Adjuster Name: \_\_\_\_\_  
Phone #: \_\_\_\_\_  
\*\*\*\*\*

Attorney: \_\_\_\_\_  
Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State: \_\_\_\_\_ Zip: \_\_\_\_\_  
Phone #: \_\_\_\_\_

Patient Name:  
DOB:

**If Minor, Name of Responsible Party:**

\_\_\_\_\_  
**I authorize sharing** my (*check one or both*)  
\_\_ financial information / \_\_ treatment information  
with the following person:

**Signature:** \_\_\_\_\_  
\*\*\*\*\*  
Physician: \_\_\_\_\_  
Clinic Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State: \_\_\_\_\_ Zip: \_\_\_\_\_  
Phone #: \_\_\_\_\_  
\*\*\*\*\*

Dentist: \_\_\_\_\_  
Clinic Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State: \_\_\_\_\_ Zip: \_\_\_\_\_  
Phone #: \_\_\_\_\_  
\*\*\*\*\*

Chiropractor: \_\_\_\_\_  
Clinic Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State: \_\_\_\_\_ Zip: \_\_\_\_\_  
Phone #: \_\_\_\_\_  
\*\*\*\*\*

Neurologist: \_\_\_\_\_  
Clinic Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State: \_\_\_\_\_ Zip: \_\_\_\_\_  
Phone #: \_\_\_\_\_  
\*\*\*\*\*

Other Care Provider: \_\_\_\_\_  
Clinic Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State: \_\_\_\_\_ Zip: \_\_\_\_\_  
Phone #: \_\_\_\_\_  
\*\*\*\*\*

**If your treatment is related to a work injury, please fill in the following:**

Other Insurance Info (Workers Comp/Personal Injury) Company Name: \_\_\_\_\_  
Billing Address: \_\_\_\_\_  
City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_  
Subscriber: \_\_\_\_\_ DOB: \_\_\_\_\_  
Policy#: \_\_\_\_\_ ID #: \_\_\_\_\_

Appt date:

## **Insurance Information—Please Read!**

Please note that it is your responsibility to understand your insurance benefits and coverage. Filling out this form will help us give you the most accurate **estimation** of your benefits. We will submit to your insurance as a courtesy.

If you have medical insurance and would like to receive the maximum benefits available to you from your insurance company, it is important that **you** contact your insurance company **prior to your first visit in our office**. We certainly are happy to answer any insurance questions you may have, but please understand, MN Craniofacial Center can only assist you and cannot guarantee payment from your insurance company.

### **Please call your medical insurance company for the following information.**

1. Do I have non-surgical sleep apnea benefits? Yes or No
  
2. Do I have a deductible? Yes or No  
How much is the deductible? \_\_\_\_\_  
How much of the deductible has been met? \_\_\_\_\_
  
3. Do I need a Prior Authorization for DME (Durable Medical Equipment)? Yes or No  
(Give DME CODE **E0486-Oral Sleep Apnea Appliance** to your insurance representative.  
If that code does not work try **21110**)

**Fax number to send prior authorization:** \_\_\_\_\_

4. Copayments are due on the day of service. How much is my office copay? \_\_\_\_\_
  
5. Is a home sleep study covered? (Give code **95806**.) Yes or No  
What percentage of the fee do I owe? \_\_\_\_\_  
(MCC may recommend a home sleep study for follow-up purposes.)
  
6. Which of these services will be applied to my deductible, and what percentage of the fee do I pay for them:  
A. X-Rays: \_\_\_\_\_  
B. Office Visits: \_\_\_\_\_  
C. DME (Durable Medical Equipment): \_\_\_\_\_

Name of representative you spoke with \_\_\_\_\_ Date \_\_\_\_\_

**Repair Charges:** Repair charges for broken oral appliances are the patient's responsibility to pay and the amount will vary, depending on the type of repair needed. Repair charges are not a covered service by your insurance company.

Your insurance plan may require a referral from your primary care physician to be seen in our office. You are responsible for being aware of this and for obtaining this referral if it is required.

Patient Name:  
DOB:

Appt date:

## Sleep Disorder Medical History

Welcome to our office! Today you will be given an examination regarding your suitability for an oral snoring and/or sleep apnea appliance. Records such as x-rays and diagnostic models may be necessary to form a complete diagnosis. Please answer these questions as completely as possible. Some of the material is repetitive, but it is necessary both to help us be thorough and to help you obtain any insurance benefits that may apply. Thank you for your help.

Name: \_\_\_\_\_ Age: \_\_\_\_\_ Today's Date: \_\_\_\_\_

Gender: \_\_\_ Male \_\_\_ Female

Please describe your chief complaints: \_\_\_\_\_  
\_\_\_\_\_

Occupation: \_\_\_\_\_ Typical job duties: \_\_\_\_\_

Work schedule: \_\_\_\_\_

MEDICAL HISTORY: Do you have or have you ever had any of the following conditions?

Yes	No		Yes	No
____ ____		AIDS	____ ____	Arthritis
____ ____		Bleeding Problems	____ ____	Bone Disorder
____ ____		Cancer	____ ____	Diabetes
____ ____		Eating Disorder	____ ____	Heart Disease
____ ____		Hepatitis	____ ____	High Blood Pressure
____ ____		Intestinal Problems	____ ____	Kidney Disease
____ ____		Low Blood Pressure	____ ____	Neurologic Problems
____ ____		Stomach Problems	____ ____	Tuberculosis
____ ____		TMJ Clicking	____ ____	TMJ Grating
____ ____		TMJ Pain		

Please describe any items marked above: \_\_\_\_\_  
\_\_\_\_\_

Do you smoke? \_\_\_yes \_\_\_no If so, how many packs per day? \_\_\_1 \_\_\_1-2 \_\_\_2-3 \_\_\_more than 3

Do you drink beer, wine, or other alcoholic beverages? \_\_\_yes \_\_\_no

If so, how many drinks per week? \_\_\_0-3 \_\_\_4-10 \_\_\_more than 10

Do you use any recreational drugs? \_\_\_yes \_\_\_no Describe: \_\_\_\_\_

Are you pregnant? \_\_\_yes \_\_\_no If so, expected date of delivery: \_\_\_\_\_

Patient Name:  
DOB:

Appt date:

FAMILY HISTORY      Father: Age, if living: \_\_\_\_\_ His general health: \_\_\_\_\_  
    Or, age at death: \_\_\_\_\_ Cause of death: \_\_\_\_\_  
    Mother: Age, if living: \_\_\_\_\_ Her general health: \_\_\_\_\_  
    Or, age at death: \_\_\_\_\_ Cause of death: \_\_\_\_\_

Are there any inherited health conditions or genetic disorders in your family? \_\_\_\_\_

Please specify: \_\_\_\_\_

CURRENT MEDICATIONS or attach list	DOSE	CONDITION
_____	_____	FOR _____
_____	_____	FOR _____
_____	_____	FOR _____
_____	_____	FOR _____
_____	_____	FOR _____
_____	_____	FOR _____

Please list any vitamins or minerals you take. Specify amount: \_\_\_\_\_

Are you allergic to any medications, foods, or latex? \_\_\_\_\_

Date of last physical exam (month & year): \_\_\_\_ / \_\_\_\_ Conditions treated: \_\_\_\_\_

Please list any surgery or hospitalizations you have had: \_\_\_\_\_

List any medical conditions not described above: \_\_\_\_\_

Has any dental treatment been recommended to you, that you have not yet completed? \_\_\_\_\_

Have you ever received any kind of TMJ (jaw joint) therapy or surgery? \_\_\_\_\_

If so, please describe and give dates: \_\_\_\_\_

Do you clench or grind your teeth during the \_\_\_\_ day or \_\_\_\_ night? \_\_\_\_\_

Do you often chew \_\_\_\_ fingernails, \_\_\_\_ pencils or pens, or \_\_\_\_ other? \_\_\_\_\_

Do you regularly see a chiropractor or physical therapist? \_\_\_\_\_

If so, how often and for what condition(s)? \_\_\_\_\_

Please list any regular exercise that you do: \_\_\_\_\_

Have you \_\_\_\_ gained \_\_\_\_ lost weight recently? How much? \_\_\_\_\_ Over what period of time? \_\_\_\_\_

**Office Use Only:** BP: \_\_\_\_\_ Pulse: \_\_\_\_\_

Height: \_\_\_\_\_ Weight: \_\_\_\_\_

Patient Name:  
DOB:

Appt date:



## NOTICE OF PRIVACY PRACTICES

MN Craniofacial Center, P.C.

PLEASE READ THE FOLLOWING STATEMENTS CAREFULLY.

You have the right to read our Privacy Practices Policy, which provides a description of the uses and disclosures we may make of your protected health information.

We reserve the right to update and change our Privacy Practices Policy. If we change the policy, we will issue a revised version containing the changes.

You may obtain a copy of our Privacy Practices Policy, including any revisions, now or at any time by contacting:

Dr. Kim M Ledermann, DDS  
Minnesota Craniofacial Center for TMJ and Sleep Treatment  
2550 University Avenue West #143N, Saint Paul, MN 55114  
Telephone: 651-642-1013 Fax: 651-642-0947  
E-mail: [info@mncranio.com](mailto:info@mncranio.com)

I have had full opportunity to read and consider your Privacy Practice Policy. I understand that, by signing this form, I consent to your use and disclosure of my protected health information as necessary to carry out my treatment, perform payment activities associated with my account, and perform health care operations as allowed under federal laws.

Print patient's name: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

If a personal representative on behalf of the patient signs this Consent, complete the following:

Personal Representative's Name: \_\_\_\_\_

Relationship to Patient: \_\_\_\_\_

**YOU ARE ENTITLED TO A COPY OF THIS FORM AFTER YOU SIGN IT.**

Witness to patient signature: Initials \_\_\_\_\_

Patient refused to sign form: Initials \_\_\_\_\_

*Revised 6-6-18*

Patient Name:  
DOB:

Appt date:



Practice policies

*We thank you for selecting us to serve your needs. Our entire staff is a team dedicated to provide the highest quality care and service to our patients. We take great pride in each staff member's training and capabilities. So that we might all enjoy a smooth working relationship, we ask you to take a few minutes to read over our practice policies:*

APPOINTMENTS: We strive to keep our patient's "waiting time" to a minimum, as we recognize that your time is valuable. Therefore, we are able to see our patients on an appointment basis only (with the exception of emergencies). We consider an appointment made to be an agreement and commitment between our office and our patients, and we rely on our fine patients to abide by their agreement. We reserve the right to reschedule your appointment if you arrive more than 15 minutes past your scheduled appointed time.

EMERGENCIES: As emergencies do arise, we ask your patience and understanding in the event of our having to place an emergency patient in front of your appointment slot. We will try to inform you of any changes necessary ahead of time, if at all possible.

FINANCIAL ARRANGEMENTS: During your first visit, our accounts manager will meet with you. Please direct all inquiries about your account to her rather than to the treatment staff. Our accounts manager will be most happy to discuss how our office handles your insurance benefits, if applicable; how your co-payments (if any) are to be taken care of; how any secondary insurance is handled; and how our office financial policy is administered. She will help you arrange the most suitable method of payment for your care. For your convenience, we accept Visa and Master Card.

INSURANCE: As a courtesy to you, we will be happy to submit all insurance claims for services rendered in our office. However, it is up to you to know if your insurance plan requires a referral or a prior authorization, whether insurance deductibles have been met, if there are plan exclusions for TMJ treatment or dental sleep appliances, what percent of coverage you have, whether you are required to make co-payments and what the co-payment will be, and what your plan maximum coverage is per year. Please see the enclosed form to use when calling your insurance company.

OUR COMMITMENT TO YOU: We appreciate having you as a patient in our practice. We will do everything to deliver the highest quality care in a safe, comfortable and caring environment. Please do not hesitate to ask any questions you might have about our services and office policies. In addition, if you are satisfied with our services, please feel free to tell a friend. We welcome new patients and appreciate referrals of your family and friends.

YOUR COMMITMENT TO US: I understand that I am ultimately responsible for my account in this office, regardless of what insurance benefits or other third-party compensation may or may not be applied to my account. I authorize payment of any medical benefits directly to MCC. If my insurance denies coverage for my treatment and an appeal is necessary, I will be expected to pay my balance due within 30 days of receiving the denial. If, after the appeal, my insurance does issue payment to MCC, then MCC will issue me a refund.

I also consent to the release and retrieval of my personal health information by MCC to and from my medical and/or any automobile or other insurance companies, and all third parties involved in my care. I agree to a charge of \$50 if I fail or \$25 if I cancel a scheduled appointment **without giving MCC a 24-hour notice.**

\_\_\_\_\_  
**Patient signature**

\_\_\_\_\_  
**Date**

Patient Name:  
DOB:

Appt date: